

Mayor
(MAY)

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**DISCLOSURE OF FINANCIAL INTERESTS
PUBLIC DISCLOSURE FORM**

FOR CALENDAR YEAR 2014

(PRINT OR TYPE CLEARLY)

NAME Kirk William Caldwell POSITION/ELECTIVE OFFICE Mayor
DEPARTMENT/AGENCY Office of the Mayor
NAME OF SPOUSE Donna Tanoue

Check the appropriate box and fill in any applicable dates:

- ☐ **INITIAL STATEMENT:** Date on which you assumed office or began employment in this position _____. You must file within twenty (20) working days after this date disclosing financial interests held during the preceding calendar year.
- ☒ **ANNUAL STATEMENT:** You are required to file not later than January 31 of each year disclosing all financial interests held during the preceding year.
- ☐ **LEAVING OFFICE STATEMENT:** You are leaving or have left your office on _____ and must file a statement within ten (10) working days of that date. You must disclose financial interests held during the preceding calendar year.
- ☐ **CANDIDATE STATEMENT:** You must file no later than ten (10) working days after the deadline for filing as a candidate for office disclosing interests held during the calendar year preceding the due date of the statement.

VERIFICATION

I declare that I have used all reasonable diligence in preparing this form, that I have reviewed Item Nos. 1 through 9, and to the best of my knowledge the information provided in this form is true and correct.

Date JAN 29, 2015 Signature [Signature]

GENERAL INSTRUCTIONS

All questions must be answered in regard to yourself, your spouse, and all dependent children. Use Abbreviations:

"F" for filer
"SP" for spouse
"DC" for dependent children
"JT" for joint interests of the filer and filer's spouse

All items on the form must be completed. If you have no information to disclose under a particular item, check "None". If additional space is required to complete an item, check "Additional sheets attached". Make a copy of your completed financial disclosure form for your records for future reference.

Disclosures need not be made by exact dollar amounts but may be reported by "range of value". You may indicate the value of a reportable interest by using the appropriate letter from the following codes:

A. Less than \$1,000	E. \$50,000 - \$99,999	I. \$300,000 - \$399,999	M. \$700,000 - \$799,999
B. \$1,000 - \$9,999	F. \$100,000 - \$149,999	J. \$400,000 - \$499,999	N. \$800,000 - \$899,999
C. \$10,000 - \$24,999	G. \$150,000 - \$199,999	K. \$500,000 - \$599,999	O. \$900,000 - \$999,999
D. \$25,000 - \$49,999	H. \$200,000 - \$299,999	L. \$600,000 - \$699,999	P. At least \$1,000,000

1. **INCOME.** Only report compensation earned for services rendered equal to \$1,000 a year or more from any employment including, but not limited to, income from the City, retirement, social security, and deferred compensation. Do not report interest, dividends, alimony, property settlements, or child support payments. Individual items of compensation that constitute a portion of the gross income of a business or profession need not be disclosed. Report income from rental property here.

☐ None ☒ Additional sheets attached

Recipient	Employer/Source	Position/Service Rendered	When	Annual Income
F	City and County of Honolulu 530 S. King St. Honolulu, HI 96813	Mayor	2014	F

1. INCOME (continued)

RECIPIENT	EMPLOYER/SOURCE	POSITION/SERVICE RENDERED	WHEN	ANNUAL INCOME
SP	Bank of Hawaii Corporation / Bank of Hawaii P.O. Box 2900 Honolulu, HI 96846	Vice Chairman, Bank of Hawaii Corporation and Bank of Hawaii Director, Bank of Hawaii	2014	I
SP	Bank of Hawaii Foundation P.O. Box 2900 Honolulu, HI 96846	President and Director		
SP	BOH Community Development Enterprise, Inc.	Community Advisory Board		
DC	Public Broadcasting Service (PBS) 2100 Crystal Drive Arlington, VA 22202	Summer Intern	2014	B
SP	Park Center Building 3538 Waiālae Avenue Honolulu, HI 96816	Income from rental property	2014	C
SP	Honolulu Tower 60 N. Beretania Street, #1505 Honolulu, HI 96817	Income from rental property	2014	B
SP	Kuakini Medical Plaza 321 N. Kuakini Street, #701 Honolulu, HI 96817	Income from rental property	2014	B

2. **CREDITORS.** Do not report any debts of less than \$3,000. Do not report debts that arise out of retail installment transactions for the purchase of consumer goods, whatever the amount. Do report a secured obligation such as a home mortgage or a car loan. Do report student loans.

☐ None ☐ Additional sheets attached

Person(s) Incurring Debt	Creditor	Original Loan Amount	Amount Outstanding
JT	Territorial Savings Bank 1132 Bishop Street #2200 Honolulu, HI 96813	P	M
F	Hawaii State Federal Credit Union P.O. Box 3072 Honolulu, HI 96802-3072	C	\$0

3. **OWNERSHIP OR INTERESTS IN BUSINESSES IN THE STATE.** Only report ownerships or beneficial interests having a value of \$5,000 or more or equal to 10% or more of ownership of businesses incorporated, regulated, or licensed to carry on business in Hawaii. Do not report accounts in federal or state regulated financial institutions, mutual insurance policies, or individual items in a mutual fund or blind trust, if the fund or trust is disclosed under this item.

☐ None ☒ Additional sheets attached

Owner(s)	Business Name and Address	Nature of Business	Percentage of Interest	Value of Interest
F	Territorial Bancorp Inc. 1132 Bishop Street, #2200 Honolulu, HI 96813	Financial Services	Less than 1% of outstanding common stock.	M

3. OWNERSHIP OR INTERESTS IN BUSINESSES IN THE STATE (continued)

OWNER(S)	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	PERCENTAGE OF INTEREST	VALUE OF INTEREST
SP	Bank of Hawaii Corporation P.O. Box 2900 Honolulu, HI 96846	Financial services	Less than 1% of outstanding common stock	P
SP	Park Center Building 3538 Waialae Avenue Honolulu, HI 96816	Commercial office building	10.25% partnership interest	G
JT	KSP Equities 1, LLC 550 Paiea Street, Suite 236 Honolulu, HI 96819	Solar power Partnership	Less than 1% interest in partnership	D
SP Custodian for DC (Hawaii Uniform Transfers to Minors Act)	Hawaiian Electric Industries, Inc. 900 Richards Street Honolulu, HI 96813	Utility and financial services	Less than 1% of outstanding common stock	C

4. **OWNERSHIP OR INTERESTS TRANSFERRED.** Only report transfers of ownerships or interests in businesses incorporated, regulated, or licensed to carry on business in Hawaii during this past year.

☒ None ☐ Additional sheets attached

Ownership or Interest	Date of Transfer	

5. **FIDUCIARY POSITIONS.** Fiduciary positions include, but are not limited to, officerships, directorships, or positions as trustee in any business or organization, whether or not operated for profit. Fiduciary positions also include being a majority shareholder in a small or closely held corporation. Be sure to report fiduciary positions in non-profit corporations.

☐ None ☒ Additional sheets attached

Position	Holder	Name & Address of Business or Organization	Term of Office	Annual Compensation
Director	F	Territorial Bancorp Inc. and Territorial Savings Bank 1132 Bishop Street, #2200 Honolulu, HI 96813	Territorial Bancorp Inc. Term: 2014 - 2016 (3-year term) Territorial Savings Bank Term: 2014 (one year)	G (covers Territorial Bancorp Inc. and Territorial Savings Bank)

6. **CREDITOR INTERESTS IN INSOLVENT BUSINESS** worth \$5,000 or more.

☒ None ☐ Additional sheets attached

Holder	Name & Address of Business	Nature of Business	Value

5. FIDUCIARY POSITIONS (continued)

POSITION	HOLDER	NAME AND ADDRESS OF BUSINESS OR ORGANIZATION	TERM OF OFFICE	ANNUAL COMPENSATION
Candidate	F	Friends of Kirk Caldwell dba Kirk Caldwell for Mayor P.O. Box 61208 Honolulu, HI 96839	N/A	None
Advisory Board Member	F	Mental Health America of Hawaii 1124 Fort Street Mall, Suite 205 Honolulu, HI 96813	2014	None
Consumer Advisory Board Member	SP	Consumer Financial Protection Bureau 1275 First Street, N.E. Washington, D.C. 20002	2012 – 2015 (appointed to 2-year term, which ended in September 2014. CFPB Director extended term for an additional year, which ends August 2015.)	None
Director	SP	Kaneohe Ranch Management Limited 1199 Auloa Road Kailua, HI 96734	2014 (one-year term, subject to annual election)	None
Trustee	SP	Honolulu Museum of Art 900 S. Beretania Street Honolulu, HI 96814	2014 – 2017	None
Trustee	SP	Hawaii Preparatory Academy 65-1692 Kohala Mountain Road Kamuela, HI 96743-8476	2013 – February 2014	None

7. **CLIENTS PERSONALLY REPRESENTED BEFORE CITY AGENCIES.** Only report representation for which you have received compensation during the preceding calendar year. Do not report representation involving ministerial matters. "Ministerial matters" do not require discretionary authority and do not need to be disclosed.

☒ None ☐ Additional sheets attached

Representative	Client	City Agency	Nature of Representation

8. **REAL PROPERTY OWNED.** Only report real property owned. Report the value of the property in any reasonable manner, such as assessed value. You are not required to report the tax map key number and street address for your personal residence or the personal residence of your spouse or dependent children; if you choose not to report the tax map key number and street address, identify instead as "personal residence."

☐ None ☒ Additional sheets attached

Owner(s)	Tax Map Key Number & Street Address	Value	Year Obtained
JT	TMK 1-2-9-15-23 2726 Hillside Avenue / 2725 Terrace Drive Honolulu, HI 96822	P 2014 City assessed value of property	2004

9. **REAL PROPERTY TRANSFERRED.** Only report real property transferred in the City and County of Honolulu during the preceding calendar year. For this item, indicate the actual amount of the transaction, even if it is less than the value (as in the case of a gift). You are not required to report the tax map key number and street address for your personal residence or the personal residence of your spouse or dependent children; if you choose not to report the tax map key number and street address, identify instead as "personal residence."

☒ None ☐ Additional sheets attached

Seller/Donor	Buyer/Donee	Date	Price	Tax Map Key Number & Street Address

8. REAL PROPERTY OWNED (continued)

OWNER	TAX MAP KEY NUMBER & STREET ADDRESS	VALUE	YEAR OBTAINED
SP	TMK 1-3-3-5-84 Park Center Building 3538 Waialae Avenue Honolulu, HI 96816	P 2014 City assessed value of entire property. SP has 10.25% interest in this property.	2001, 2004 and 2009
SP	TMK 1-1-7-5-11 CPR 140 Honolulu Tower 60 N. Beretania Street, #1505 Honolulu, HI 96817	I 2014 City assessed value of entire property. SP has 25% interest in this property.	1999 and 2000
SP	TMK 1-1-7-17-28 HPR 39 Kuakini Medical Plaza 321 N. Kuakini Street, Unit 701 Honolulu, HI 96817	I 2014 City assessed value of entire property. SP has 12.5% interest in this property.	2001, 2002 and 2008

10. ADDITIONAL DISCLOSURE DEBT FROM BUSINESS

CREDITOR	DEBTOR NAME AND ADDRESS	NATURE OF BUSINESS	AMOUNT OWED
F	Ashford & Wriston, LLP 999 Bishop Street, #1400 Honolulu, HI 96813	Law Firm	\$0